



UNITED STATES PATENT AND TRADEMARK OFFICE

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Page 1 of 2



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/849,176	05/04/2001	Michael E. Gaddis	22013-05976

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

CONFIRMATION NO. 9666

FORMALITIES LETTER



OC00000006240472

Date Mailed: 06/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

10/2002 AGOITOM 00000038 09849176

C:105

130.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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FOR MR. MICHAEL HARTIG
COMMISSIONER FOR PATENT
WASHINGTON, D.C. 20231

PATENT NO. 7,111,111

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PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office	
TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)		Application Number	09/849,176
		Filing Date	May 4, 2001
		First Named Inventor	Michael E. Gaddis
		Group Art Unit Number	2152
		Examiner Name	Not yet known
Total Number of Pages in This Submission	29	Attorney Docket Number	22013-05976

ENCLOSURES (check all that apply)

- | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)
<input checked="" type="checkbox"/> Check Enclosed
<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts
<input type="checkbox"/> Assignment & Recordation Cover Sheet
<input checked="" type="checkbox"/> Declaration and Power of Attorney
<input type="checkbox"/> Power of Attorney
<input type="checkbox"/> Application Data Sheet
<input type="checkbox"/> Information Disclosure Statement & PTO-1449
<input type="checkbox"/> Copies of IDS Cited References
<input type="checkbox"/> Request for Corrected Filing Receipt
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<input type="checkbox"/> Status Request
<input type="checkbox"/> Revocation and Substitute Power of Attorney | <input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Formal Drawing(s):
[8] Sheet(s) of Figure(s) [1-4(d)]
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Notice To File Missing Parts Of Nonprovisional
<input type="checkbox"/> Application (copy)
<input checked="" type="checkbox"/> Declaration of Jim Wooten
<input checked="" type="checkbox"/> Declaration of Karen Schattgen
<input checked="" type="checkbox"/> Petition Under 37 C.F.R. 1.47 Concerning Inventor Who Refuses to Join In Application
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REMARKS:

SIGNATURE OF ATTORNEY OR AGENT

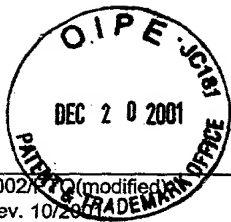
Signature:	<i>Laura Majerus</i>	
Attorney/Reg. No.:	Laura A. Majerus, Reg. No. 33,417	Dated: 12/20/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	<i>Laura Majerus</i>	
Typed or Printed Name:	Laura A. Majerus	Dated: 12/20/01
Express Mail Mailing Number (optional):	EL482716609US	

22013/05976/DOCS/1231800.1



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PTO/SB/17 (10-01)(modified)

OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/17 (modified)
Rev. 10/2001
U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 1,570.00)**

Complete if Known

Application Number	09/849,176
Filing Date	May 4, 2001
First Named Inventor	Michael E. Gaddis
Group Art Unit	2152
Examiner Name	Not yet known
Attorney Docket Number	22013-05976

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †
- ☒ Applicant claims small entity status
See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Other

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$740	201/\$370	Utility Filing	
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	

SUBTOTAL (1) **(\$ 0)**

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$84	202/\$42	Independent claims in excess of 3
104/\$280	204/\$140	Multiple dependent claim
109/\$84	209/\$42	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	130
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month†	
116/\$400	216/\$200	Extension for response within second month†	
117/\$920	217/\$460	Extension for response within third month†	
118/\$1,440	218/\$720	Extension for response within fourth month†	1440
128/\$1,960	228/\$980	Extension for response within fifth month†	
119/\$320	219/\$160	Notice of Appeal	
141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application	
142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)	
143/\$460	243/\$230	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
126/\$180	126/\$180	Submission of Information Disclosure Statement	
179/\$740	279/\$370	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify):

Other fee (specify):

SUBTOTAL (3) **(\$ 1570)**

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due	
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x		=	
TOTAL		minus*	20 or 0	=		x		=	
INDEP		minus*	3 or 0	=		x		=	

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) **(\$ 0)**

SUBMITTED BY

Typed or Printed Name	Laura A. Majerus	Complete (If applicable)	
Signature	<i>Laura Majerus</i>	Reg. Number	33,417
		Date	12/20/01

22013/05976/DOCS/1231333.1

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Michael E. Gaddis, David Barmann, Peter N. Hicks and Michael A. Brown

APPLICATION NO.: 09/849,176

FILING DATE: May 4, 2001

TITLE: Method And System For Sending Information On An Extranet

EXAMINER: Not yet known

GROUP ART UNIT: 2152

ATTY. DKT. NO.: 22013-05976

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:

Laura Majerus

Typed or Printed Name:

Laura A. Majerus

Dated:

12/20/01

Express Mail Mailing Number (optional):

EL482716609US

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR:

Responsive to the Notice to File Missing Parts dated June 28, 2001 received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration and Power of Attorney;
- ☒ an original, signed Declaration of Jim Wootten
- ☒ an original, signed Declaration of Karen Schattgen

PATENT

- ☐ an Application Data Sheet;
- ☒ payment in the amount of \$1,570.00 for the
- ☐ application filing fee;
- ☐ fee for additional claims; and
- ☒ missing parts surcharge and a four month extension.
- ☒ Other
- ☒ Letter to the Chief Draftsperson
- ☒ Petition Under 37 C.F.R. 1.47 Concerning Inventor Who Refuses To
Join In Application

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
MICHAEL E. GADDIS, DAVID BARMANN,
PETER N. HICKS AND MICHAEL A. BROWN

Dated: 12/20/01

By: Laura Majerus
Laura A. Majerus, Reg. No.: 33,417
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (415) 875-2332
Fax.: (415) 281-1350

22013/05976/DOCS/1231794.1